

**Amendments to the Specification:**

Please enter the following amendments to the specification, which are shown marked-up in accordance with revised 37 C.F.R. § 1.121.

- 01
- Please replace the paragraph at page 3, lines 19-20 with the following paragraph:

-- The invention will be described with reference to the accompanying drawings, in which like elements are referenced by like numerals. --

- 02
- Please replace the paragraph at page 10, lines 8-13 with the following paragraph:

-- However, in the practice of the invention if the user wishes to revise or refine search results 112, ~~provisions~~ provision is made for search refinement through search modification module 114 accessible through the interface 102. The search modification module 114 allows the user to execute editing functions to alter, delete, add, or otherwise manipulate the criteria 120 to re-execute or refine the search. --

- 03
- Please replace the paragraph at page 12, lines 12-20 with the following paragraph:

-- As illustrated in Figs. 2(n) - 2(y), once the transaction server 106 returns the search results 112 to the client 118, ~~the search result 112~~ the user may drill down through the search results 112 in order to view more information about particular funds, sort the results, alter one or more of the criteria 120 and generally manipulate the user interface 102 to refine and explore the search results 112. As illustrated in Fig. 2(y), another possibility for the transaction link 128 is as a link to a purchase site for individual funds or other products, depending on the search results 112, the user's existing account and other factors. --

- 04
- Please replace the paragraph at page 12, line 21 - page 13, line 7 with the following paragraph:

-- Overall processing of mutual fund comparative profiles according to the invention is illustrated in Figure 3. In step 302, processing begins. In step 304, a user logs in at client 118, and the login may include authentication processing if desired. In step 306, either criteria 120 to be newly entered or pre-filled criteria if investment profile 126 is activated are presented via [[on]] the interface 102. In step 308, the user selects or inputs

94 the criteria 120 they wish to apply to the search, along with any weights via the weighting module 124. In step 310, the user's entered search information is communicated via communications link 104 to the transaction server 106. --

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